
INTRODUCER DETAILS FORM - CORPORATE

Opening a AX Financials introducing partner account is quick and easy. Simply complete and sign the application below, scan and email the form to Clientservices@axfinancials.com and we will get back to you within 24 hours. AX Financials will not disclose or share your personal information with any third parties.

A Partner Details

Full company name:

Trading Name (if applicable):

Year Established:

Company Registration No:

Website(s):

Social media channel(s):

Telephone number:

Email address:

Residential Address:

(street name and number,
city, country, postal code)

Postal Address:

(if different to the above)

Principal contact person/
position within company:

B Previous Experience as an Introducing Agent

Have you worked as an Introducing Partner with other broker(s)? Yes No

Please specify the broker(s):

Reason for cancellation of agreement (if applicable):

Experience level: Beginner Intermediate Expert

B Previous Experience as an Introducing Agent

Which countries will you mainly be targeting?

Select the activities that best describe what you will be doing:

You are simply informing your client(s) that Ax Financials is able to provide financial services

You will give general advice and have some influence on a client's trading

You are a training provider teaching people to trade FX

You are providing seminars to people about trading FX

You are providing face-to-face coaching or mentoring sessions on FX trading

Please provide the firm's current Financial Services License(s) or your Authorised Representative status and provide license number:

Are you a listed company on a Stock Exchange? No Yes

If yes, which Stock Exchange?

Have you conducted related business under any other name in the past? If yes, please provide further information:

Do your proposed services utilise the expertise of any other organisation? No Yes

e.g. financial planning firm, asset management firm or trader?

If yes, please provide further information:

Are you targeting Wholesale or Retail customers? Wholesale Retail

Have there ever been any criminal, civil, administrative or loss of Financial Service Licenses proceedings (including penalties issued by financial service regulators for misconduct, market manipulation, insider trading or disqualification)

against the firm of any of its directors, key employees, or representatives? No Yes

If yes, please provide further information:

Are you currently under any investigations by a Government agency or Regulator? No Yes

If yes, please provide further information:

D Activity Details

How many active clients do you currently have?

Initial no. of accounts to be opened in first month:

Quarterly expected accounts:

Average account deposit size (\$):

Expected monthly client trading volumes/style:

E Client Marketing

Type of marketing (e.g. networking, forums, blogs):

Type of client support: (e.g. account opening)

Expected commissions charged (\$):

Special requirements:

F Company Structure Details

Please list all the names and backgrounds (including education, professional qualifications and career backgrounds) of the following people:

- Directors

- Shareholders who own more than %25 or more

- Senior management team

Are there any plans pending for ownership/structural changes? No Yes

If yes, please provide further information:

G Bank Information (accounts must be held in the name of the introducer)

Bank name:

Account name:

Account number:

Swift Number:

IBAN:

G Bank Information (accounts must be held in the name of the introducer)

By signing this form, I confirm that the above information is true and accurate and that no material information has been withheld.

Signature:

Print name:

Date: